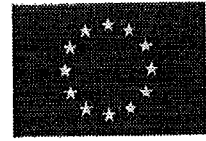


EU Funds  
Management Task Group



European Union

## **ANNUAL PROGRAMME 2010**

**Revised Version**

MEMBER STATE: **PORTUGAL**

FUND: **External Borders Fund**

RESPONSIBLE AUTHORITY: **EU Funds Management Task Group – Ministry of Home  
Affairs**

YEAR COVERED: **2010**

This model is supplemented by the appointment of two bodies with full technical, administrative and financial autonomy, the CA and the AA.

In the case of the CA, the separation of functions is further reinforced by the fact that the team responsible for certifying expenditure under the Funds of the Framework Programme has no involvement in matters relating to the implementation, if any, of projects, as was the case, moreover, under the ERF II.

The AA, which is part of the Ministry of Finance and therefore occupies a position of complete independence in relation to all potential beneficiaries, is the body responsible, at national level, for auditing all Community financing, which fact alone attests to its competence and impartiality in exercising the functions in question.

The RA is also part of a Joint Committee, an advisory body made up of representatives of those members of the Government with competence in the area in which the Fund is active which becomes involved either at the stage of drafting the programming under the Fund or at the stage of approving projects.

The general rules for selection of projects to be financed under the Annual Programme are consistent with those laid down in the specimen description of the management and control system for the four Funds that make up the Framework Programme on Solidarity and Management of Migration Flows, which was sent to the European Commission at the appropriate time.

The RA organises all the procedures for the selection and award of co-financing by the Fund, in accordance with the principles of transparency, equal treatment and non-duplication of support.

The rules are contained in the national legislation relating specifically to the Fund – Portaria No 79/2008 of 25<sup>th</sup> January 2008 and Portaria No 912/2010 of 16<sup>th</sup> September 2010 – and can also be found in the manual of procedures for the Fund as well as on the RA's own website (<http://www.fundoscomunitarios.mai.gov.pt>).

The process for the selection of projects laid down in those documents is as follows:

- Announcement – Applications are made to the RA following the publication of an announcement in a newspaper with extensive national coverage and on the RA's own website. The announcement contains, either directly or by reference to a web page which it will specify, all information relevant for this purpose, in particular the Fund objectives which the applications must meet, the eligible beneficiaries, the temporary eligibility period for the Annual

the selection criteria and an examination of the eligibility of the expenditure proposed and whether it is reasonable for the purposes of achieving the objectives of the application.

- Opinion of the Joint Committee – Following analysis, applications are ranked in accordance with the rating arrived at after their assessment against the selection criteria and on the basis of the funds available, and submitted to the Joint Committee for its opinion. Once this opinion has been obtained, a decision can be made.
- Decision – Instrument validating approval of the application for financing.
- Financing contract – The financing decision is formalised by a written contract concluded between the RA and the body applying for financing.

The procedures for the conclusion of contracts will be in conformity with the provisions of Article 11 of the rules governing the implementation of the Fund and will ensure compatibility between the national and European Union rules applicable to public procurement.

A call for proposals was launched on the 18<sup>th</sup> of October 2010 and the deadline to submit proposals for projects was the 10<sup>th</sup> of November 2010. In response to this call for proposals, 15 project applications were submitted by 6 potential beneficiaries. Examination of the project proposals was underway at the time of submitting the revised draft Annual Programme 2010.

The main changes in this revised version are:

- A decrease in the Fund amount foreseen to Action 1, due to a lower execution on some of the projects submitted and the renunciation by the beneficiary of others;
- The withdrawn of Actions 2 and 3, due the renunciation of the submitted applications by the beneficiary as a consequence of budgetary constraints;
- An increase in Action 4, in which the total amount of eligible costs exceeded the available Fund amount;
- A decrease in Action 5, due to a lower execution of the projects submitted;
- An increase in the Technical Assistance.

As a result of the insufficiency of Fund to achieve the maximum co-finance rate in every Actions, due to the high number and costs of the applications submitted, the

a) Lisbon Airport Development Plan: modernization and capacity increase of the border control posts – Terminal 1

The investment concerns the creation of additional border control posts at the International Terminal of Lisbon Airport. It will be undertaken by ANA – Airports of Portugal, the state held company whose scope is the public service of managing and operating airport infrastructures, in the context of an expansion plan to respond to increased passenger traffic needs at the International Terminal.

Only eligible border control related infrastructure will be financed by the Fund.

The eligible investment consists of the creation of 9 counters for manual passport controls, 2 desks for electronic controls, one supervision post, border partition/flow control, a CCTV circuit for monitoring and recording (cameras and monitoring station), among others. Implementation will be undertaken by ANA - Airports of Portugal which will make the border control posts exclusively available to SEF, who will be the final user.

ANA - Airports of Portugal is the state held company responsible for the management of the Portuguese international airports. Any intervention in the airport infrastructures has to be undertaken by this entity. Therefore, Ana - Airports of Portugal will be the grant recipient of this action.

b) Equipment for maritime external borders

The investment concerns the purchase of modern equipment for carrying out controls at the maritime external borders. More particularly, the investment to be undertaken by the Foreign Nationals and Borders Service (SEF) consists of the purchase of 20 mobile devices (document readers) and the development of their connection to the SEF database (software).

**Beneficiaries of the action**

The beneficiaries of this action will be the Foreign Nationals and Borders Service (SEF - *Serviço de Estrangeiros e Fronteiras*) and the state held company responsible for the operation of the Portuguese mainland international airports and

submitted in result of budgetary constraints. To be developed in further Annual Programmes.

**Action 3 – creating areas and centers for persons refused entry:** Action withdrawn from the current Annual Programme due to the renunciation of the applications submitted in result of budgetary constraints.

**3.2. Actions to be implemented under priority 2 – Support for the development and implementation of the national components of a European surveillance system for the external borders and of a permanent European patrol network at the southern maritime borders of the EU Member States**

**Action 4 – Investments in establishing an integrated national surveillance system**

The main purpose of this action is the strengthening and modernisation of the surveillance and control system at the external maritime borders, as well as providing for more efficient coordination, interconnection and interoperability of such arrangements.

The projects contribute to the development of an integrated national system for the surveillance of the maritime external border which is able to disseminate relevant information on an ongoing basis to all entities involved in external border control, ensuring interoperability with other internal and external systems for the management, control and surveillance of borders and fixed and mobile observation posts.

a) Integrated Coastal Control and Surveillance System (SIVICC)

The project under the 2010 annual programme concerns a portion of the implementation of a national coastal surveillance system, the "Integrated Coastal Control and Surveillance System (SIVICC)" national project, attributed to the fight against illegal immigration and border control.

System (AIS) position reports, vessels' pre-arrival/arrival and departure notifications, information related to incidents, etc.

The project is implemented by the Institute of Ports and Maritime Transportation (IPTM), the public body responsible for surveillance of maritime and harbour traffic and the management of national harbours and harbour infrastructure, and upon its completion, all competent authorities carrying out border control activities will be granted access to use the system, namely to exchange information and web-based access to visualise information on vessel traffic. Given the dual use element of the project, namely for the purposes of maritime safety and border surveillance, the project will receive co-financing by the EBF for up to 50% of the total cost.

### **Beneficiaries of the action**

The beneficiaries of this action will be the entities with legal competences in the area and/or legally responsible for the major investments in this field of action such as:

- Directorate-General of Infrastructures and Equipments of the Ministry of Home Affairs (DGIE – Direcção Geral de Infra-estruturas e Equipamentos do Ministério da Administração Interna);
- Institute of Ports and Maritime Transportation (IPTM – Instituto Portuário e dos Transportes Marítimos).

### **Expected quantified results**

- a) Acquisition of equipment for Observation Posts Type 1 (fixed): 1
- Acquisition of equipment for Observation Posts Type 2 (fixed): 2
- Acquisition of equipment for Observation Posts Type 3 (mobile): 2
- Installation of the elements of the subsystems in the national and regional Command and Control Centers: 2
- Communication subsystem – Microwave links for the fixed Observation Posts: 5

a) Open Sea

This national project aims to reinforce GNR capability to carry out surveillance, patrolling and intervention activities in a maritime environment by providing for faster means of intervention interception, also allowing for the replace of boats having reached their useful life limits.

This element in AP 2010 concerns the purchase of 3 semi-rigid intervention vessels for interception and the acquisition of electronic systems for surveillance and interception boats.

b) Morcego & Mobile

The purpose of this phase is to provide GNR with specific mobile means for seashore patrolling and rapid intervention, along with the necessary detection, identification and intervention equipment. It provides for the means and equipment to carry out, day and night, the necessary operations to combat illegal immigration.

The elements to be financed in AP 2010 concern the acquisition of night vision cameras and the creation of a border operations control centre for the GNR.

**Beneficiaries of the action**

The National Republican Guard (GNR – *Guarda Nacional Republicana*) will be the beneficiary of this action.

**Expected quantified results**

- a) 30% increase in the patrols and interventions to combat illegal immigration  
60% reduction in the inoperability time of naval, technical and technological means  
Increase in the number of semi-rigid vessels for interception: 3  
Acquisition of electronic systems for surveillance and interception boats: 1
- b) 50% increase in the number of multi-agencies operations coordinated by the GNR  
30% increase in the GNR's internal operations

machinery, infrastructure and security test on the connection with the S-Testa (Secure Trans European Services for Telematics between Administrations).

Thereafter, all the national modules to connect with the central system, the ICD 2.5.2 version were developed. These modules include setting alerts to: Aircraft; Bank Note; Blank Note; Boat, Motor Boat; Container; Fire Arms; Industrial Equipment; Issued Document; Registration; Financial Product; Vehicle; Property Registration of Vehicle; People; Biometric Data. It was also created the component that supports the data comparison between the national systems and the central system, and the auxiliary Central and National research modules were developed and tested. Afterwards, the ICD 2.5.3 version was implemented and tested and the same happened with the ICD 2.7 version.

The action under the 2010 annual programme intends to support the development and the update of the national component of SISII and adapt it to the ICD 3.0 version. It includes the acquisition of software development services (namely communication and application modules) and support services for the exchange of European data. The activities foreseen are: applicational module analysis, development of 1<sup>st</sup>, 2<sup>nd</sup> and last applicational levels and testing (Milestone 2).

### **Beneficiaries of the action**

The potential beneficiary of this action will be the Foreign Nationals and Borders Service (*SEF - Serviço de Estrangeiros e Fronteiras*)

### **Expected quantified results**

- Percentage of continuity tests of the Portuguese SIS II with the central SIS II, concluded during the period of the project: 100%
- Increase in the number of daily uses: 30%
- Increased number of disclosures of occurrences: 50%

### **Financial Information**

Action	Community contribution	Public contribution	Private contribution	TOTAL	%EC
	1	2	3	4=1+2+3	5=1/4
<b>Action 6</b>	80.555,19€	413.957,39€	0,00	494.512,58€	16%



### **Financial Information**

<b>Action</b>	<b>Community contribution</b>	<b>Public contribution</b>	<b>Private contribution</b>	<b>TOTAL</b>	<b>%EC</b>
	1	2	3	4=1+2+3	5=1/4
<b>Action 7</b>	30.982,76€	149.017,24€	0,00	180.000,00€	17%

The change in the overall costs is only in order to correspond to the total eligible cost of the application submitted, remaining everything else the same.

### **Implementation schedule**

January 1<sup>st</sup>, 2010 to June 30<sup>th</sup>, 2012

### **3.4. Common features**

#### **Visibility of co-financing by the Fund**

Co-financing by the Fund of any component relating to management of the Programme and the projects to be approved will be clearly visible. Methods for ensuring visibility will include:

- Placing the EU logo and specifying co-financing by the Fund on all material produced to implement the programme (invitations to present project proposals, manuals of procedures, guidelines, forms, correspondence, etc.);
- Placing the EU logo on all equipment purchased for the project;
- Placing the EU logo and specifying co-financing by the Fund on all relevant publicity material, prospectuses, headed paper, public relations activities, etc;
- Placing the EU logo and specifying co-financing by the Fund at the premises of subsidy beneficiaries (for example, office walls, entrances, etc.);

ensure that there is no overlapping support, inter alia by consulting the information systems of the authorities managing the national programmes, in particular with Community support.

- The RA represents the Ministry of Home Affairs within the monitoring bodies of the National Strategic Reference Framework for the period 2007-2013, which monitor implementation of the programmes supported by the Structural Funds;
- Within the Ministry of Home Affairs, the RA centralises all information relating to projects or actions in respect of which any form of Community financing has been applied for or awarded, and is responsible for ensuring that that financing is consistent and that there is no overlapping support.

#### **4. TECHNICAL ASSISTANCE**

##### **Purpose of technical assistance**

Technical assistance is specifically intended to promote maximum effectiveness and efficiency in the implementation of the Programme, and therefore seeks to provide, through the RA's, AA's and CA's technical support structure, a range of management activities which are essential for executing, monitoring and reviewing projects financed under the Programme so as to ensure that the objectives proposed are achieved.

To that end, technical assistance helps equip the RA's, AA's and CA's management structures, with the logistical facilities essential to its proper operation and to effective monitoring and review.

It is also responsible for taking action to promote, communicate and publicise financing, particularly the results achieved through the projects supported by the Fund.

Accordingly, the main expenditure headings to be taken into account are as follows:

In accordance with paragraphs 10 and 11 of Council of Ministers Resolution No 155-A/2006 of 17 November 2006, the budgetary costs of this measure are to be borne by appropriations from the budget of the Ministry of Home Affairs. The General Secretariat of the Ministry of Home Affairs (SG-MAI) is to be responsible for providing logistical support to the RA and for budgetary implementation, and is accordingly the final beneficiary of the technical assistance.

The SG-MAI's accounting system is organised in accordance with the Accounting Information System (AIS), and complies with the principles and concepts of accounting and the cost accounting criteria laid down by law.

### **Expected quantified results**

The expected results of this measure have to do with achieving the goals and objectives set out for the Programme and will therefore manifest themselves in management tasks and procedures, which, in accordance with the applicable rules, will make it possible to maximize the national and Community resources assigned to the Programme.

The following indicators will therefore be used:

- Rate of execution of the Fund expenditure at 31.03.2013 - 100%
- Average time taken to assess applications – 30 days

### **Beneficiaries of the action**

The Responsible Authority, the Auditing Authority and the Certifying Authority.

### **Visibility of Community financing**

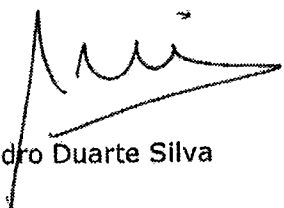
See point 3.4.

**DRAFT FINANCING PLAN**

Annual Programme - Draft Financing Plan								
Table 1 - Summary Table								
Member State: Portugal								
Annual Programme: 2010								
External Borders Fund								
(All numbers in euros)	Priority No	Specific priority No (1)	Community contribution (a)	Public contribution (b)	Private contribution (c)	TOTAL (d = a+b+c)	EC % (e = a/d)	Share of total (d/total d)
Action 1	1		537.797,38	1.012.599,12	0,00	1.550.396,50	35%	22%
Action 2	1		0,00	0,00	0,00	0,00	0%	0%
Action 3	1		0,00	0,00	0,00	0,00	0%	0%
Action 4	2		1.628.751,08	2.822.647,80	0,00	4.451.398,88	37%	63%
Action 5	2		203.810,59	67.936,86	0,00	271.747,45	75%	4%
Action 6	4		80.555,19	413.957,39	0,00	494.512,58	16%	7%
Action 7	4		30.982,76	149.017,24	0,00	180.000,00	17%	3%
Technical assistance			100.000,00	0,00	0,00	100.000,00	100%	1%
Other operations(2)								
<b>TOTAL</b>			<b>2.581.897,00</b>	<b>4.466.168,41</b>	<b>0,00</b>	<b>7.048.065,41</b>	<b>37%</b>	<b>100%</b>

(1) If applicable.  
 (2) If necessary, specific measures defined in the basic act, in particular emergency measures.

*Signature of person responsible*



Pedro Duarte Silva

EU Funds Management Task Group

Ministry of Home Affairs